

Investor questionnaire

If you are a U.S. citizen or tax resident under U.S. law, you should indicate that you are a U.S. tax resident on this form and you may also need to fill in an IRS W-8BEN-E or W-9 form. If you have such forms, you should give us copy.

1. Legal Name of Entity
2. Country of incorporation/organization
3. Address of incorporation and address of location
4. Fill in all countries where your company has tax residency:

	Tax residency country	Taxpayer identification number (TIN) or a functional equivalent in the absence of a TIN	If a TIN is unavailable please provide reason A or B where appropriate
1			
2			
3			

If your company has Tax residency more than three countries please use a separate sheet

A: The country where the entity is resident does not issue TINs to its residents.

B: The Entity is unable to obtain a TIN or equivalent number (please explain why the entity are unable to obtain a TIN below table if you have selected this reason).

Please explain in the following boxes why the entity are unable to obtain a TIN if you selected reason **B** above

1	
2	
3	

5. Indicate the FATCA-status of your company (if the entity has not FATCA-status, then explain the reason):
6. Indicate Global Intermediary Identification Number (GIIN):
7. Is your company has income more 50% from: pro or not
 - Dividends, including substitute dividend amounts, and interests, interest rate and index instruments;
 - Income equivalent to interest;
 - Rents and royalties, other than rents and royalties derived in the active conduct of a trade or business for example rentals and royalties for the use patents, copyrights, secret processes and formulas, trademarks, trade brands, franchises;
 - Income from foreign currency, foreign exchange;
 - Annuities;

- Income from futures, forwards and similar transactions in any commodities but not including any commodity hedging transaction or income from the sale of commodities but only if substantially all the foreign entity's commodities are property;
- Income from transactions with securities and derivatives (transferable securities);
- Net income from notional principal contracts;
- Amounts received under cash value insurance contracts;
- Amounts earned by an insurance company in connection with its reserves for insurance and annuity contracts;
- And another passive income.

8. Identification of a controlling person:

8.1. Indicate controlling persons (beneficial owners) of the entity that directly or indirectly have shares in charter capital:

Name of the controlling person (beneficial owners)*	Shares in charter capital (%)	Country Tax Residency	TIN/ITIN**
1			
2			
3			

If controlling person is tax residency more than three countries, please, use a separate sheet.

*If controlling persons (beneficial owners) more than three persons please, use a separate sheet.

**If TIN/ITIN not received, explain reason as in the point number 4. If a TIN is unavailable, please provide reason A or B where appropriate.

A: The country where the controlling person is resident does not issue TINs to its residents.

B: The controlling person is unable to obtain a TIN or equivalent number (please explain why you are unable to obtain a TIN below table if you have selected this reason).

Please explain in the following boxes why the entity are unable to obtain a TIN if you selected reason **B** above

1	
2	
3	

8.2. Please provide the Controlling Person's status by ticking the appropriate box if you are tax resident in one or more jurisdictions

Type of Controlling person	Entity 1	Entity 2	Entity 3
Controlling person of a legal person – control by ownership			
Controlling person of a legal person –control by other means			
Controlling person of a legal person – senior managing official			
Controlling person of a trust - settlor			
Controlling person of a trust - trustee			
Controlling person of a trust - protector			
Controlling person of a trust - beneficiary			
Controlling person of a trust - other			
Controlling person of a legal arrangement (non-trust) – settlor-equivalent			
Controlling person of a legal arrangement (non-trust) –trustee-equivalent			
Controlling person of a legal arrangement (non-trust) – protector-equivalent			
Controlling person of a legal arrangement (non-trust) – beneficiary-equivalent			
Controlling person of a legal arrangement (non-trust) – other-equivalent			

8.3. Indicate the controlling person address of incorporation and address of location (if does not match), date of birth and place of birth (country and city or town) (if applicable)

The number of controlling person (beneficial owners) from the form 8.1.	Address of incorporation	Address of location	For individuals	
			Date of birth	Place of birth

Indicate ID or passport of the controlling person: series (if any), number, date of receive, country of receive (if applicable).

9. Identification of a beneficial owner

9.1. First name and Surname

9.2. Date of birth and place of birth (country and city or town)

9.3. Citizenship (if you have more than one citizenship, indicate countries of receive)

9.4. Mailing Address and current residence address

9.5. Country (territory) of Tax residency

9.6. Individual Taxpayer Identification number

9.7. Indicate please ID or passport: series (if any), number, date of receive, country of receive (if applicable).

I acknowledge that the information contained in this form and information regarding the Controlling person and the beneficial owner may be reported to the tax authorities and exchanged with tax authorities of another country or countries pursuant to intergovernmental agreements to exchange financial account information.

I declare that all statements made in this questionnaire are, to the best of my knowledge and belief, correct and complete.

By my own will and in my own interest, I hereby give my consent to the processing of my personal data Management company RUSNANO LLC (office 801, prospect 60-letiya Octyabrya 10A, Moscow, 117036 Russian Federation) and RUSNANO JSC (office 708.1, prospect 60-letiya Octyabrya 10A, Moscow, 117036 Russian Federation) for compliance of the Russian Federation legislation.

The processing of your personal data may include collecting, systemizing, storing (updating and altering), using, blocking or deleting my personal data as detailed in the **Privacy Policy**.

Signature:

Date (dd/mm/yyyy):